

Guarantee Advice Internal Amendment User Guide
**Oracle Banking Trade Finance Process
Management**

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Oracle Banking Trade Finance Process Management - Guarantee Advice Internal Amendment User Guide
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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

Overview

OBTFPM is a Trade Finance Middle Office Platform, which enables Bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps Banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during Transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Guarantee Advice Internal Amendment

Guarantee Advice Internal Amendment allows the user to change the Limit/ Cash collateral details or to capture the MT 768 acknowledgment details received from Advise through bank.

Limits and Cash Collateral change are usually done when the Advising Bank or Advise through bank has confirmed the SBLC and in such cases the Limits/ Cash Collateral maintained by Issuing Bank is changed.

Following data can be changed during Internal Amendment

- Line ID
- CASA account for Cash Collateral
- Charges Simulated on account of this task
- Suppress of Advices generated on account of this task

Data received on account of Incoming MT 768 need to be captured as received.

This chapter contains the following topics:

[Common Initiation Stage](#)

[Registration](#)

[Data Enrichment](#)

[Multi Level Approval](#)

Common Initiation Stage

The user can initiate the new guarantee advise internal amendment request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.

Provide the details based on the description in the following table:

| Field | Description |
|--------------|---|
| Process Name | Select the process name to initiate the task. |

| Field | Description |
|--------|--------------------|
| Branch | Select the branch. |

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description |
|---------|--|
| Proceed | Task will get initiated to next logical stage. |
| Clear | The user can clear the contents update and can input values again. |

Registration

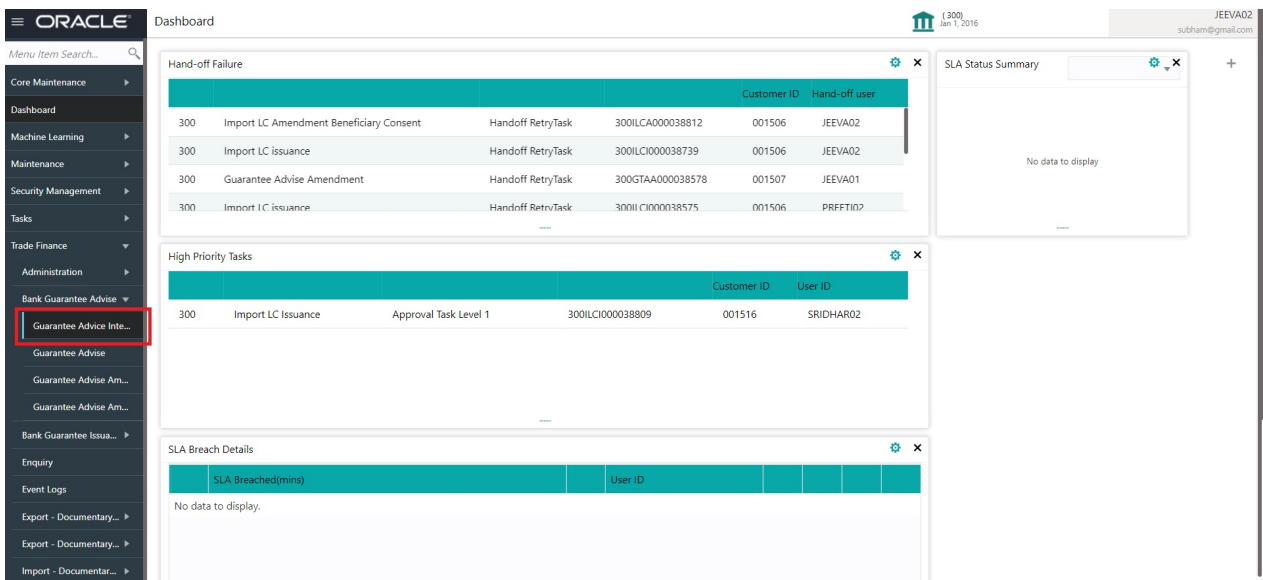
As a Registration user, you can register an internal amendment to a Guaranteed/SBLC Advised request, also can upload relevant documents and verify checklist items. If the request is received by mail/Courier, you can update the request.

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Bank Guarantee Advise > Guarantee Advice Internal Amendment.



The Registration stage has two sections Application Details and Guarantee Details. Let's look at the Registration stage:

In case of STP of incoming SWIFT MT 768, a task should be directly created in Data Enrichment Stage after the required business validations and Registration stage is not applicable.

Application Details

Guarantee Advise Internal Amendment

View Signature Documents Remarks Customer Instruction

Application Details

Advising Bank Reference Number: PK2GUAD211250002

Submission Mode: Desk

Amendment Date: May 5, 2021

Beneficiary: 001044 GOODCARE PLC

Process Reference Number: PK2GTAI000007157

Branch: PK2-Oracle Banking Trade Finan...

Issuer: 003763 CITIBANK IRELA

Priority: Medium

Issuing Bank Reference: dffdgd gfhgij

View Guarantee/SBLC Guarantee/SBLC Events

Guarantee Details

22D - Form of Undertaking: DGAR - Guarantee

32B - Undertaking Amount: GBP £11,000.00

23X - File Identification

50 - Applicant Name: 001043 MARKS AND SPI

23B - Expiry Type: COND

20 - Undertaking Number

Amount In Local Currency: GBP £11,000.00

23X - Narrative

59A - Beneficiary Name: 001044 GOODCARE PLC

31E - Date of Expiry: Aug 3, 2021

Product Code: GUAD

22K - Type of Undertaking

40C - Applicable Rules: URDG - Uniform rules for dema...

56A - Advising Bank

35G - Expiry Condition/ Event: dfggf

Product Description: Guarantee Advising

22A - Purpose of Message: ADVI - Advice of issued underta...

40C - Narrative

39D - Additional Amounts

51 - Obligor/ Instructing Party

Hold Cancel Save & Close Submit

Provide the Application Details based on the description in the following table:

| Field | Description | Sample Values |
|--------------------------------|---|-----------------------------|
| Advising Bank Reference Number | Enter the advising bank reference number or alternatively select it from LOV'. As part of LOV criteria; user can input the Customer Id, Beneficiary name, Currency and amount. | |
| Beneficiary | Read only field. Beneficiary name is auto-populated from Guarantee /SBLC Advise. | 001345 |
| Branch | Read only field. Branch Name will be auto-populated from Guarantee /SBLC Advise. | 203-Bank Futura -Branch FZ1 |
| Priority | This field will be defaulted based on the priority maintained for the customer. If priority is not maintained for a customer, 'Medium' priority will be defaulted. | High |
| Submission Mode | Select the submission mode of Guarantee Advice Internal Amendment request. Desk - Request received through Desk Courier - Request received through Courier Email - Request received through Email | Desk |
| Process Reference Number | Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code. | 203GTEADV00 15920 |

| Field | Description | Sample Values |
|------------------------|---|-------------------------|
| Issuer | Read only field. System defaults the Issuing Bank as per the Guarantee Advice details. | |
| Issuing Bank Reference | Read only field. System defaults the Issuing Bank reference from Guarantee Advice details. | Advising Bank Reference |
| Amendment Date | System defaults the branch's current system date. | 04/13/2018 |

Guarantee Details

Registration user can view the latest LC values defaulted in the respective fields in the Guarantee Details in this section. All the fields in the Guarantee Details section is read only.

Provide the Guarantee Details based on the description in the following table:

| Field | Description | Sample Values |
|--------------------------|---|--------------------|
| Form of Undertaking | Read only field. System defaults the value from Guarantee Advice details. | |
| Undertaking Number | Read only field. System defaults the value from Guarantee/ SBLC Advise. | |
| Product Code | Read only field. System defaults the value from Guarantee/ SBLC Advised. | GUIA |
| Product Description | Description of the product. Read only field. System defaults the value from Guarantee/ SBLC Advised. | Guarantee Advising |
| Undertaking Amount | Read only field. System defaults the outstanding value available from Guarantee/ SBLC Advise. | |
| Amount In Local Currency | System fetches the local currency equivalent value for the transaction amount from back office (with decimal places). | |

| Field | Description | Sample Values |
|---------------------|--|--|
| Type of Undertaking | Read only field. System defaults the value from Guarantee/ SBLC Advised. | ADVP |
| Purpose of message | Read only field. System defaults the value from Guarantee/ SBLC Advised. | |
| File Identification | The type of delivery channel and its associated file name or reference. Read only field. System defaults the value from Guarantee/ SBLC Advised. | |
| Narrative | Read only field. System defaults the value from Guarantee/ SBLC Advised. | |
| Applicable Rules | Rules for Guarantee. Read only field. System defaults the value from Guarantee/ SBLC Advised. | URDG - Uniform rules for demand guarantees |
| Narrative | Read only field. System defaults the value from Guarantee/ SBLC Advised. | |
| Applicant Name | Read only field. System defaults the value from Guarantee/ SBLC Advised. | 001345 Nestle |
| Beneficiary Name | Read only field. System defaults the beneficiary from Guarantee/ SBLC Issuance. User can modify the beneficiary if required. | 001345 Nestle |
| Advising Bank | Read only field. System defaults the advising bank from Guarantee/ SBLC Advised. | |
| Additional Amounts | Read only field. Additional Amount covered as per the latest LC details is displayed in Guarantee Advised. | |
| Expiry Type | Validity of the guarantee. Read only field. System defaults the value from Guarantee/ SBLC Advised. | |
| Date Of Expiry | Expiry Date of Guarantee. System defaults the value from Guarantee/ SBLC Advised. | 09/30/18 |

| Field | Description | Sample Values |
|--------------------------|--|---------------|
| Expiry Condition/Event | Expiry Date of Guarantee. Read only field. System defaults the value from Guarantee/ SBLC Advised. | 09/30/18 |
| Obligor/Instructor Party | The party obligated to reimburse the issuer. | |

Miscellaneous

Guarantee Advise Internal Amendment

View Signature Documents Remarks Customer Instruction

Application Details

Advising Bank Reference Number: PK2GUAD211250002

Submission Mode: Desk

Amendment Date: May 5, 2021

Beneficiary: 001044 GOODCARE PLC

Process Reference Number: PK2GTAI000007157

Branch: PK2-Oracle Banking Trade Finan...

Issuer: 003763 CITIBANK IRELA

Priority: Medium

Issuing Bank Reference: dffgdg ghgjj

View Guarantee/SBLC Guarantee/SBLC Events

Guarantee Details

22D - Form of Undertaking: DGAR - Guarantee

32B - Undertaking Amount: GBP £11,000.00

23X - File Identification

50 - Applicant Name: 001043 MARKS AND SPI

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59A - Beneficiary Name: 001044 GOODCARE PLC

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56A - Advising Bank

35G - Expiry Condition/ Event: dfggf

Product Description: Guarantee Advising

22A - Purpose of Message: ADVI - Advice of issued underta...

40C - Narrative

39D - Additional Amounts

51 - Obligor/ Instructing Party

Hold Cancel Save & Close Submit

Enables the user to upload required documents. Provide the Miscellaneous Details based on the description in the following table:

| Field | Description | Sample Values |
|------------------|---|---------------|
| Verify Signature | System displays the details of Authorized signatories. The pop up box displays the signature id, signature title and image of the signature for verification. | |
| Documents | Upload the required documents. E.g.: Guarantee/ SBLC Application, Indemnity, Counter Guarantee | |
| Remarks | Provide any additional information regarding the Guarantee Advise Amendment. This information can be viewed by other users processing the request. | |

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| Customer Instructions | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. | |
| View Guarantee | Clicking on View Guarantee button, user can view the the snapshot of latest Guarantee Advised details. | |
| Guarantee Events | Clicking on Guarantee Events button, user can view the snapshot of various events under the Guarantee Advised. | |
| Action Buttons | | |
| Submit | <p>On Submit, system will give confirmation message for successful submission. Task will get moved to next logical stage of Guarantee Advise Amendment.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p> | |
| Save & Close | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p> | |
| Cancel | <p>Cancels the Guarantee Advise Amendment. Registration stage inputs.</p> | |
| Hold | <p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Checklist | <p>System displays the mandatory and optional checklist items. Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.</p> | |

Data Enrichment

At this stage you can register a request for Internal Amendment of Guarantee/SBLC Advised.

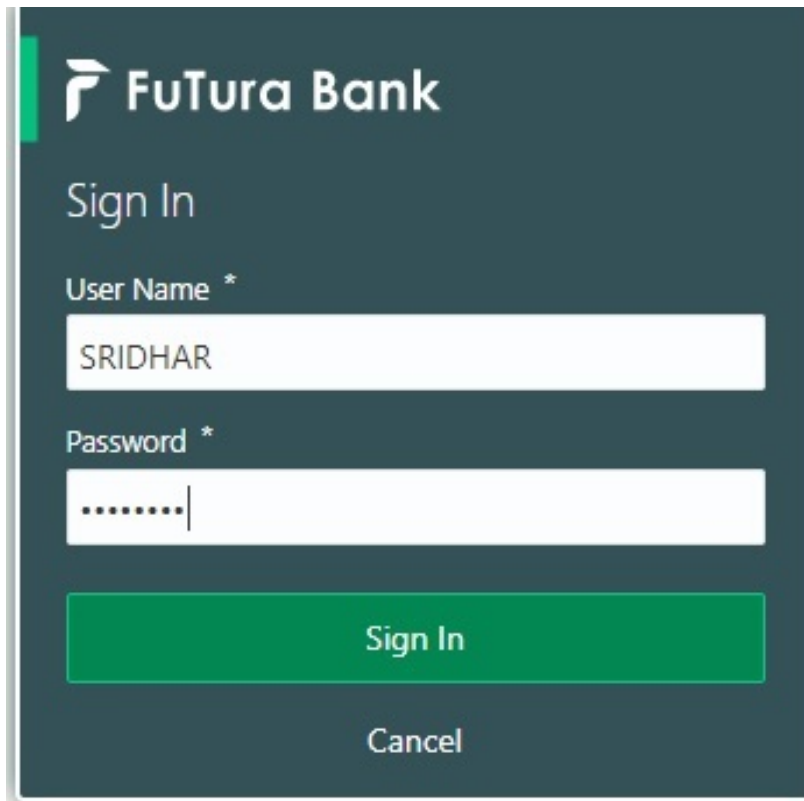
As part of Data Enrichment, you can update the details already captured in Registration stage. If details are not captured in DE stage, you can input the details.

If the request is received by mail/Courier, the user can to update the request. The request will have the details entered during the Registration stage.

If the request is received by SWIFT, then the task needs to be auto created and available for the user to handle.

Do the following steps to acquire a task which completed the Registration and currently at Data enrichment stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.



2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

3. Click **Trade Finance> Tasks> Free Tasks**.

| Action | Priority | Process Name | Process Reference Number | Application Number | Stage | Application Date | Branch | Customer Number |
|---|----------|---------------------------|--------------------------|--------------------|-----------------------|------------------|--------|-----------------|
| <input type="checkbox"/> Acquire & E... | M | Guarantee Advise Inter... | 300GTAI000038844 | 300GTAI000038844 | DataEnrichment | 20-11-17 | 300 | 001505 |
| <input type="checkbox"/> Acquire & E... | M | Import LC Issuance | 300ILCI000038833 | 300ILCI000038833 | Approval Task Level 1 | 20-11-15 | 300 | 001509 |
| <input type="checkbox"/> Acquire & E... | M | Guarantee Advise | 000GTEA000038838 | 000GTEA000038838 | Scrutiny | 20-11-16 | 300 | |
| <input type="checkbox"/> Acquire & E... | M | Import LC Issuance | 300ILCI000038831 | 300ILCI000038831 | Scrutiny | 20-11-14 | 300 | 001508 |
| <input type="checkbox"/> Acquire & E... | M | Import LC Issuance | 300ILCI000038744 | 300ILCI000038744 | Scrutiny | 20-11-12 | 300 | 001506 |
| <input type="checkbox"/> Acquire & E... | M | Import LC Issuance | 000ILCI000038829 | 000ILCI000038829 | Scrutiny | 20-11-14 | 300 | 000887 |
| <input type="checkbox"/> Acquire & E... | H | Import LC Issuance | 300ILCI000038809 | 300ILCI000038809 | Approval Task Level 1 | 20-11-12 | 300 | 001516 |
| <input type="checkbox"/> Acquire & E... | M | Import LC Drawing | 300ILCD000038822 | 300ILCD000038822 | Approval Task Level 1 | 20-11-13 | 300 | 001506 |
| <input type="checkbox"/> Acquire & E... | M | Import LC Amendment ... | 300ILCA000038812 | 300ILCA000038812 | Handoff RetryTask | 20-11-12 | 300 | 001506 |
| <input type="checkbox"/> Acquire & E... | M | Import Documentary C... | 300IDCU000038810 | 300IDCU000038810 | Registration | 20-11-12 | 300 | 001505 |
| <input type="checkbox"/> Acquire & E... | M | Import LC Issuance | 000ILCI000038743 | 000ILCI000038743 | DataEnrichment | 20-11-12 | 300 | 000947 |
| <input type="checkbox"/> Acquire & E... | M | Import LC Issuance | 300ILCI000038750 | 300ILCI000038750 | Reject Approval | 20-11-12 | 300 | 001506 |
| <input type="checkbox"/> Acquire & E... | M | Import LC Issuance | 300ILCI000038739 | 300ILCI000038739 | Handoff RetryTask | 20-11-12 | 300 | 001506 |
| <input type="checkbox"/> Acquire & E... | M | Shipment Guarantee Iss... | 000GCTI000038740 | 000GCTI000038740 | Approval Task Level 1 | 20-11-12 | 300 | |

4. Select the appropriate amendment task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task.

Free Tasks

| Action | Priority | Process Name | Process Reference Number | Application Number | Stage | Application Date | Branch | Customer Number |
|--|----------|---------------------------|--------------------------|--------------------|-----------------------|------------------|--------|-----------------|
| <input checked="" type="checkbox"/> Acquire & E... | M | Guarantee Advise Inter... | 300GTAI000038844 | 300GTAI000038844 | DataEnrichment | 20-11-17 | 300 | 001505 |
| <input type="checkbox"/> Acquire & E... | M | Import LC Issuance | 300ILCI000038833 | 300ILCI000038833 | Approval Task Level 1 | 20-11-15 | 300 | 001509 |
| <input type="checkbox"/> Acquire & E... | M | Guarantee Advise | 000GTEA000038838 | 000GTEA000038838 | Scrutiny | 20-11-16 | 300 | |
| <input type="checkbox"/> Acquire & E... | M | Import LC Issuance | 300ILCI000038831 | 300ILCI000038831 | Scrutiny | 20-11-14 | 300 | 001508 |
| <input type="checkbox"/> Acquire & E... | M | Import LC Issuance | 300ILCI000038744 | 300ILCI000038744 | Scrutiny | 20-11-12 | 300 | 001506 |
| <input type="checkbox"/> Acquire & E... | M | Import LC Issuance | 000ILCI000038829 | 000ILCI000038829 | Scrutiny | 20-11-14 | 300 | 000887 |
| <input type="checkbox"/> Acquire & E... | H | Import LC Issuance | 300ILCI000038809 | 300ILCI000038809 | Approval Task Level 1 | 20-11-12 | 300 | 001516 |
| <input type="checkbox"/> Acquire & E... | M | Import LC Drawing | 300ILCD000038822 | 300ILCD000038822 | Approval Task Level 1 | 20-11-13 | 300 | 001506 |
| <input type="checkbox"/> Acquire & E... | M | Import LC Amendment ... | 300ILCA000038812 | 300ILCA000038812 | Handoff RetryTask | 20-11-12 | 300 | 001506 |
| <input type="checkbox"/> Acquire & E... | M | Import Documentary C... | 300IDCU000038810 | 300IDCU000038810 | Registration | 20-11-12 | 300 | 001505 |
| <input type="checkbox"/> Acquire & E... | M | Import LC Issuance | 000ILCI000038743 | 000ILCI000038743 | DataEnrichment | 20-11-12 | 300 | 000947 |
| <input type="checkbox"/> Acquire & E... | M | Import LC Issuance | 300ILCI000038750 | 300ILCI000038750 | Reject Approval | 20-11-12 | 300 | 001506 |
| <input type="checkbox"/> Acquire & E... | M | Import LC Issuance | 300ILCI000038739 | 300ILCI000038739 | Handoff RetryTask | 20-11-12 | 300 | 001506 |

Page 1 of 3 (1 - 20 of 48 items) | K < 1 2 3 > X

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

My Tasks

| Action | Priority | Process Name | Process Reference Number | Application Number | Stage | Application Date | Branch | Customer Number | Amount |
|--|----------|---------------------------|--------------------------|--------------------|----------------|------------------|--------|-----------------|--------|
| <input checked="" type="checkbox"/> Edit | M | Guarantee Advise Inter... | 300GTAI000038844 | 300GTAI000038844 | DataEnrichment | 20-11-17 | 300 | 001505 | |
| <input type="checkbox"/> Edit | | Guarantee Advise Inter... | 300GTAI000038843 | 300GTAI000038843 | Registration | 20-11-17 | 300 | 001505 | |
| <input type="checkbox"/> Edit | | Guarantee Advise Inter... | 300GTAI000038842 | 300GTAI000038842 | Registration | 20-11-17 | 300 | 001505 | |
| <input type="checkbox"/> Edit | M | Guarantee Issuance | 000GTEI000038837 | 000GTEI000038837 | Scrutiny | 20-11-16 | 300 | 000863 | |
| <input type="checkbox"/> Edit | M | Guarantee Advise Amen... | 300GTEA000038705 | 300GTEA000038705 | DataEnrichment | 20-11-11 | 300 | 001507 | |
| <input type="checkbox"/> Edit | M | Guarantee Advise Amen... | 300GTEA000038704 | 300GTEA000038704 | DataEnrichment | 20-11-11 | 300 | 001507 | |
| <input type="checkbox"/> Edit | M | Export LCCancellation | 300ELCC000038683 | 300ELCC000038683 | DataEnrichment | 20-11-10 | 300 | 001506 | |
| <input type="checkbox"/> Edit | | Export LC Drawing Upd... | 300ELCU000038666 | 300ELCU000038666 | Registration | 20-11-10 | 300 | 001564 | |
| <input type="checkbox"/> Edit | | Export LC Drawing Upd... | 300ELCU000038665 | 300ELCU000038665 | Registration | 20-11-10 | 300 | 001506 | |
| <input type="checkbox"/> Edit | | Export LC Drawing Upd... | 300ELCU000038664 | 300ELCU000038664 | Registration | 20-11-10 | 300 | 001506 | |
| <input type="checkbox"/> Edit | | Export LC Drawing Upd... | 300ELCU000038662 | 300ELCU000038662 | Registration | 20-11-10 | 300 | 001506 | |
| <input type="checkbox"/> Edit | | Export LC Drawing Upd... | 300ELCU000038661 | 300ELCU000038661 | Registration | 20-11-10 | 300 | 001506 | |
| <input type="checkbox"/> Edit | | Export LC Drawing Upd... | 300ELCU000038660 | 300ELCU000038660 | Registration | 20-11-10 | 300 | 001506 | |

Page 1 of 3 (1 - 20 of 47 items) | K < 1 2 3 > X

The Guarantee Advise Internal Amendment - Data Enrichment stage has three sections as follows:

- Main Details
- Additional Fields
- Advices
- Additional Details
- Summary

Let's look at the details for Guarantee Advise Internal Amendment - Data Enrichment stage.

User can enter/update the following fields. Some of the fields that are already having value from Registration/online channels may not be editable.

Main Details

Main details section has three sub section as follows:

- Application Details
- Guarantee Details

Application Details

Refer to [Application Details](#) in the Registration section for more information of the fields.

Guarantee Advise Internal Amendment - DataEnrichment :: Application No: PK2GTAI000007157

Main Details

Application Details

Advising Bank Reference Number: PK2GUAD211250002

Beneficiary: 001044 GOODCARE PLC

Branch: PK2-Oracle Banking Trade Finan...

Priority: Medium

Submission Mode: Desk

Process Reference Number: PK2GTAI000007157

Issuer: 003763 CITIBANK IRELA

Issuing Bank Reference: dffgdg gfhgjj

Amendment Date: May 5, 2021

Guarantee Details

22D - Form of Undertaking: DGAR - Guarantee

20 - Undertaking Number

Product Code: GUAD

Product Description: Guarantee Advising

32B - Undertaking Amount: GBP £11,000.00

Amount In Local Currency: GBP £11,000.00

22K - Type of Undertaking

22A - Purpose of Message: ADVI - Advice of issued underta...

23X - File Identification

23X - Narrative

40C - Applicable Rules: URDG - Uniform rules for dema...

40C - Narrative

50 - Applicant Name: 001043 MARKS AND SP

59A - Beneficiary Name: 001044 GOODCARE PLC

56A - Advising Bank

39D - Additional Amounts

23B - Expiry Type: COND

31E - Date of Expiry: Aug 3, 2021

35G - Expiry Condition/ Event: dfggf

51 - Obligor/ Instructing Party

Buttons: Reject, Refer, Hold, Cancel, Save & Close, Back, Next

Guarantee Details

The fields listed under this section are same as the fields listed under the [Guarantee Details](#) section in [Registration](#). Refer to [Guarantee Details](#) for more information of the fields. User can Input/ update the fields except the Product Code field.

Guarantee Details

22D - Form of Undertaking: DGAR - Guarantee

20 - Undertaking Number

Product Code: GUAD

Product Description: Guarantee Advising

32B - Undertaking Amount: GBP £11,000.00

Amount In Local Currency: GBP £11,000.00

22K - Type of Undertaking

22A - Purpose of Message: ADVI - Advice of issued underta...

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31E - Date of Expiry: Aug 3, 2021

35G - Expiry Condition/ Event: dfggf

51 - Obligor/ Instructing Party

Buttons: Reject, Refer, Hold, Cancel, Save & Close, Back, Next

Action Buttons

Use action buttons based on the description in the following table:

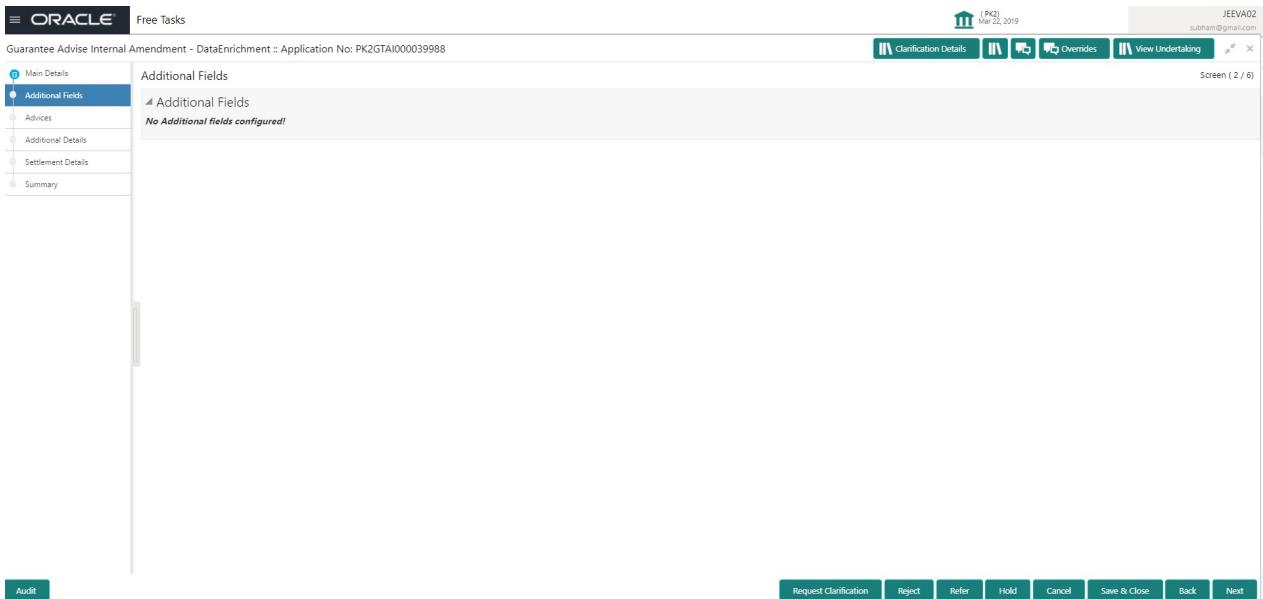
| Field | Description | Sample Values |
|-----------------------|---|---------------|
| Request Clarification | On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details. | |

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Refer | <p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. | |
| Hold | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Cancel | <p>Cancel the details captured in the screen.</p> | |
| Next | <p>Task will get moved to next logical stage of Guarantee Amendment Advise.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p> | |
| Save & Close | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p> | |
| Clarification Details | <p>Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.</p> | |

| Field | Description | Sample Values |
|-----------------------|--|---------------|
| Documents | <p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p> | |
| Remarks | <p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p> | |
| Overrides | <p>Click to view overrides, if any.</p> | |
| Customer Instructions | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. | |
| Common Group Message | <p>Click Common Group Message button, to send MT799 and MT999 messages from within the task.</p> | |
| View Undertaking | <p>Clicking this button allows the user should to view the undertaking details.</p> | |

Additional Fields

This stage displays the additional fields based on the User defined fields maintained in the system.



Action Buttons

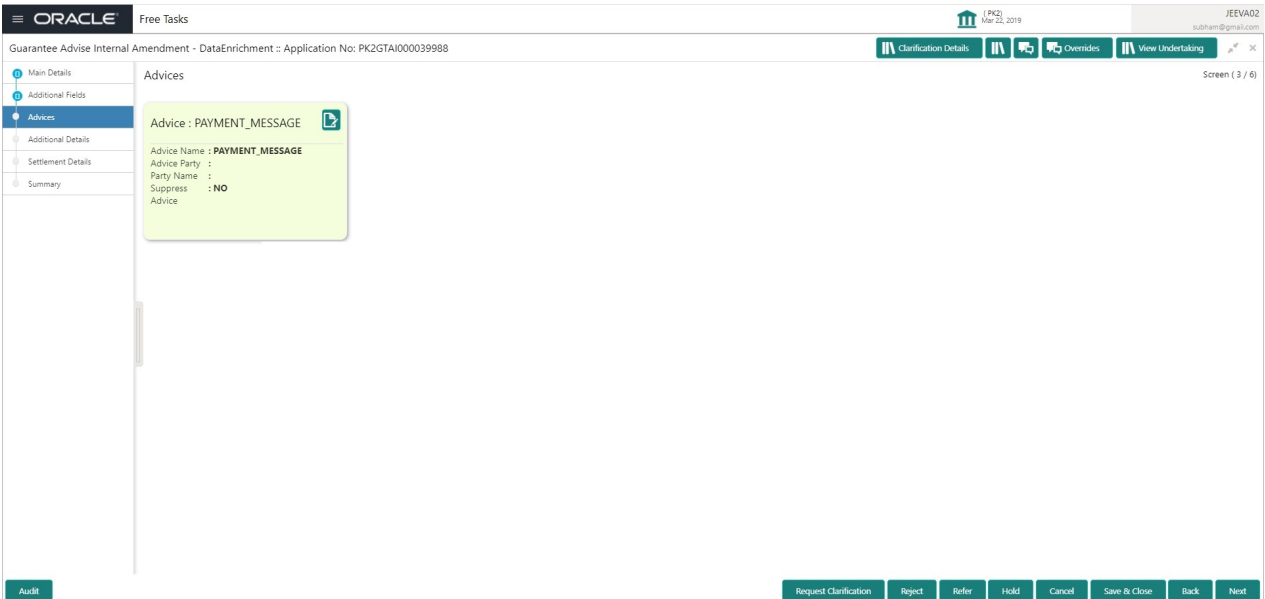
Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| Request Clarification | On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details. | |
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |

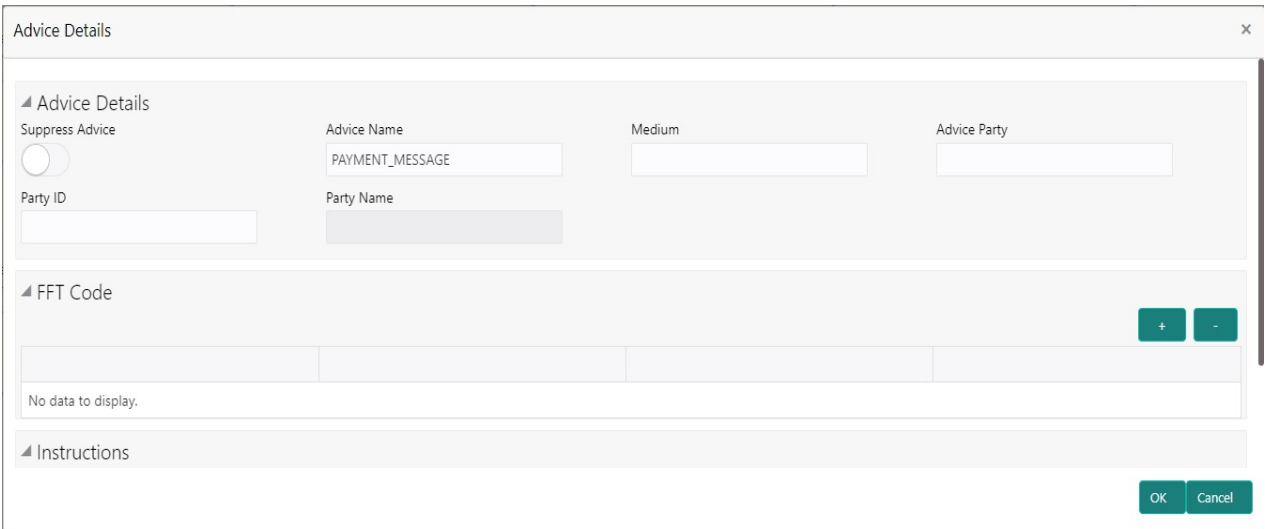
| Field | Description | Sample Values |
|-----------------------|---|---------------|
| Refer | <p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. | |
| Hold | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Cancel | Cancel the Guarantee Advise Amendment inputs. | |
| Next | <p>Task will get moved to next logical stage of Guarantee Advise Amendment.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p> | |
| Save & Close | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p> | |
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested. | |
| Documents | <p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> | |
| Remarks | Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users. | |
| Overrides | Click to view overrides, if any. | |
| View Undertaking | Clicking this button allows the user should to view the undertaking details. | |

Advices





This section defaults the advices maintained for the product based on the advices maintained at the Product level.



The user can also suppress the Advice, if required.



| Field | Description | Sample Values |
|-----------------|---|---------------|
| Suppress Advice | Toggle on: Switch on the toggle if advice is suppressed. Toggle off: Switch off the toggle if suppress advice is not required for the amendments | |
| Advice Name | User can select the instruction code as a part of free text. | |
| Medium | The medium of advices is defaulted from the system. User can update if required. | |
| Advice Party | Value be defaulted from Guarantee /SBLC Issuance. User can update if required. | |

| Field | Description | Sample Values |
|---|--|---------------|
| Party ID | Value be defaulted from Guarantee /SBLC Issuance. User can update if required. | |
| Party Name | Read only field. Value be defaulted from Guarantee /SBLC Issuance. | |
| Free Format Text | | |
| FFT Code | User can select the FFT code as a part of free text. | |
| FFT Description | FFT description is populated based on the FFT code selected. | |
|  | Click plus icon to add new FFT code. | |
|  | Click minus icon to remove any existing FFT code. | |
| Instruction Details | | |
| Instruction Code | User can select the instruction code as a part of free text. | |
| Instruction Description | Instruction description is populated based on the FFT code selected. | |
|  | Click plus icon to add new instruction code. | |
|  | Click minus icon to remove any existing instruction code. | |

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| Request Clarification | On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details. | |

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Refer | <p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. | |
| Hold | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Cancel | Cancel the Guarantee Advice Scrutiny inputs. | |
| Next | <p>Task will get moved to next logical stage of Guarantee Amendment Advise.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p> | |
| Save & Close | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p> | |
| Back | On clicking the Back, system should move the task to the previous segment. | |
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested. | |





| Field | Description | Sample Values |
|------------------|---|---------------|
| Documents | Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. | |
| Remarks | Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users. | |
| Overrides | Click to view overrides, if any. | |
| View Undertaking | Clicking this button allows the user should to view the undertaking details. | |

Additional Details

In the Additional details section, user can enter, update and verify the additional details Data Segment of Internal Amendment of Guarantee/ SBLC Advised request. User can change the values in Limits and Collateral section and Charges section.

Change to existing Line or cash collateral is applicable when the advising bank has also confirmed the SBLC and changes the details Issuing bank Line/ Cash Collateral.

Guarantee Advise Internal Amendment - DataEnrichment :: Application No: PK2GTAI000003554

Main Details
 Additional Fields
 Advices
Additional Details
 Settlement Details
 Summary

Additional Details Screen (4 / 6)

| Limit & Collateral | Charge Details | Preview Message |
|--|---|-----------------------------------|
| Limit Currency : Limit Contribution : Limit Status : Collateral Currency : Collateral : Contribution : Collateral Status : | Charge : Commission : Tax : Block Status : | Language : Preview Message : - |

Audit

Reject Refer Hold Cancel Save & Close Back Next

Limit and Collateral

In this section user can to attach more than one line.

Limits Details

Limit & Collateral

Limit Details

| Customer ID | Line ID | Contribution % | Contribution Currency | Contribution Amount | Limit Check Response | Response Message | Edit | Delete |
|-------------|---------|----------------|-----------------------|---------------------|----------------------|------------------|--------|--------|
| 001044 | | 100 | GBP | US\$9,000.00 | | | 001044 | |

Collateral Details

| Collateral Type | Collateral % | Currency | Contribution Amount | Settlement Account | Account Balance Check Response | Response Message | Edit | Delete |
|-----------------|--------------|----------|---------------------|--------------------|--------------------------------|------------------|-----------------|--------|
| Cash Collateral | 10 | GBP | US\$900.00 | PK20010440017 | | | Cash Collateral | |

Deposit Linkage Details

| Deposit Account | Deposit Currency | Deposit Maturity Date | Transaction Currency | Deposit Available In Transaction Currency | Linkage Amount(Transaction Currency) | Edit | Delete |
|------------------|------------------|-----------------------|----------------------|---|--------------------------------------|------------------|--------|
| PK2CDP1210860002 | GBP | 2022-03-27 | GBP | 9900 | US\$4,050.00 | PK2CDP1210860002 | |

Page 1 of 1 (1 of 1 items) | < 1 >

[Save & Close](#) [Close](#)

Limit Details ✕

Customer Id
001044

Contribution % *
100.0 ▼ ▲

Contribution Currency
GBP

Limit Currency
GBP

Limit Check Response
Available

Expiry Date
24-Dec-2020

[Verify](#)

Line ID *
001044_GB

Limits Description

Contribution Amount *
£9,000.00


Limit Available Amount
£9,99,999.00

Response Message
The Earmark can be performed as the f

[Save & Close](#) [Close](#)

Provide the Limit Details based on the description in the following table:

| Field | Description | Sample Values |
|-------|---|---------------|
| | Click plus icon to add new Limit Details. | |



| Field | Description | Sample Values |
|---|---|---------------|
|  | Click minus icon to remove any existing Limit Details. | |
| Customer ID | This field displays the applicant's bank customer ID. | |
| Line ID | User can choose from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount. | |
| Contribution% | System will default this to 100% and user can modify. System will display an alert message, if modified. Once contribution % is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message. | |
| Limits Description | Description of limit. | |
| Contribution Currency | The guarantee currency will be defaulted in this field. | |
| Contribution Amount | User can enter the contribution amount to be utilized under the selected limit. | |
| Limit Currency | Limit Currency will be defaulted in this field. | |
| Limit Available Amount | This field will display the value of available limit, i.e., limit available without any earmark. | |
| Limit Check Response | Response can be 'Success' or 'Limit not Available'. | |
| Response Message | Detailed Response message. | |
| Expiry Date | This field displays the date up to which the Line is valid | |

Collateral Details

Collateral Details
✕

| | |
|--|--|
| Collateral Type * <input type="text" value="Cash Collateral"/> | Collateral % * <input type="text" value="10.0"/> |
| Currency <input type="text" value="GBP"/> | Contribution Amount * <input type="text" value="£7,635.50"/> |
| Settlement Account * <input type="text" value="PK20010430013"/> | Settlement Account Branch <input type="text" value="PK2"/> |
| Settlement Account Currency <input type="text" value="USD"/> | Account Available Amount <input type="text" value="\$99,832,937.53"/> |
| Response <input type="text" value="Available"/> | Response Message <input type="text" value="The amount block can be performed"/> |

Provide the collateral details based on the description provided in the following table:

| Field | Description | Sample Values |
|---|---|---------------|
|  | Click plus icon to add new Collateral Details. | |
|  | Click minus icon to remove any existing Collateral Details. | |
| Collateral Type | <p>Cash Collateral (CASA) will be the default value available as collateral type. User can select either Cash Collateral or Deposits.</p> <p>System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.</p> <p>User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".</p> | |

| Field | Description | Sample Values |
|-----------------------------|--|---------------|
| Collateral % | <p>User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.</p> <p>System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.</p> <p>User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".</p> | |
| Currency | <p>Read only field.</p> <p>The guarantee currency will get defaulted in this field.</p> | |
| Contribution Amount | <p>Collateral contribution amount will get defaulted in this field.</p> <p>System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.</p> <p>User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".</p> | |
| Settlement Account | Select the settlement account for the collateral. | |
| Settlement Account Branch | Settlement Account Branch will be auto-populated based on the Settlement Account selection. | |
| Settlement Account Currency | Select the Settlement Account Currency. | |
| Account Available Amount | Account Available Amount will be auto-populated based on the Settlement Account selection. | |
| Response | Response can be 'Success' or 'Amount not Available'. | |
| Response Message | Detailed Response message. | |

Charge Details

After Advices, clicking on Next button and landing on the additional tab, charges and tax if any will get defaulted from Back end simulation. If default charges are available under the product, they should be

defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

| Component | Currency | Amount | Modified | Billing | Defer | Waive | Charge Party | Settlement Account |
|------------|----------|--------|----------|--------------------------|--------------------------|--------------------------|--------------|--------------------|
| LCCOURAMND | GBP | £50.00 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | | |
| LCSWIFTAMN | GBP | £50.00 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | | |

Provide the Charge Details based on the description provided in the following table:

| Field | Description | Sample Values |
|-----------|---|---------------|
| Component | Charge Component type. | |
| Currency | Defaults the currency in which the charges have to be collected. | |
| Amount | An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required. | |
| Modified | User can enter a new amount in 'Modified' field. This will be the new charge for the modified component. | |
| Billing | <p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p> | |
| Defer | <p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p> | |

| Field | Description | Sample Values |
|--------------------|--|---------------|
| Waive | If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer. This field is disabled, if 'Defer' toggle is enabled. | |
| Charge Party | Charge party will be applicant by default. You can change the value to beneficiary | |
| Settlement Account | Details of the settlement account. | |

Commission Details

| Commission Details | | | | | | | | | |
|--------------------|------|-----|------------|----------|-----------------------|-----------------------|--------------|--------------------|----------------------|
| | Rate | | | Modified | Defer | Waive | Charge Party | Settlement Account | |
| AGUIR_COMM | 1.5 | GBP | £17,530.74 | | <input type="radio"/> | <input type="radio"/> | | 30000015050028 | <input type="text"/> |

Provide the Commission Details based on the description provided in the following table:

| Field | Description | Sample Values |
|--------------------|---|---------------|
| Component | Select the commission component | |
| Rate | Defaults from product. User can change the rate, if required. | |
| Currency | Defaults the currency in which the commission needs to be collected | |
| Amount | An amount that is maintained under the product code defaults in this field. User can modify the value, if required. | |
| Modified | From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field. | |
| Defer | Select the check box, if charges/commissions has to be deferred and collected at any future step. | |
| Waive | Select the check box to waive charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. | |
| Charge Party | Charge party will be 'Applicant' by Default. You can change the value to Beneficiary. | |
| Settlement Account | Details of the Settlement Account. | |

Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system. Tax details are defaulted from the back-end system.

Following Tax Details will be displayed:

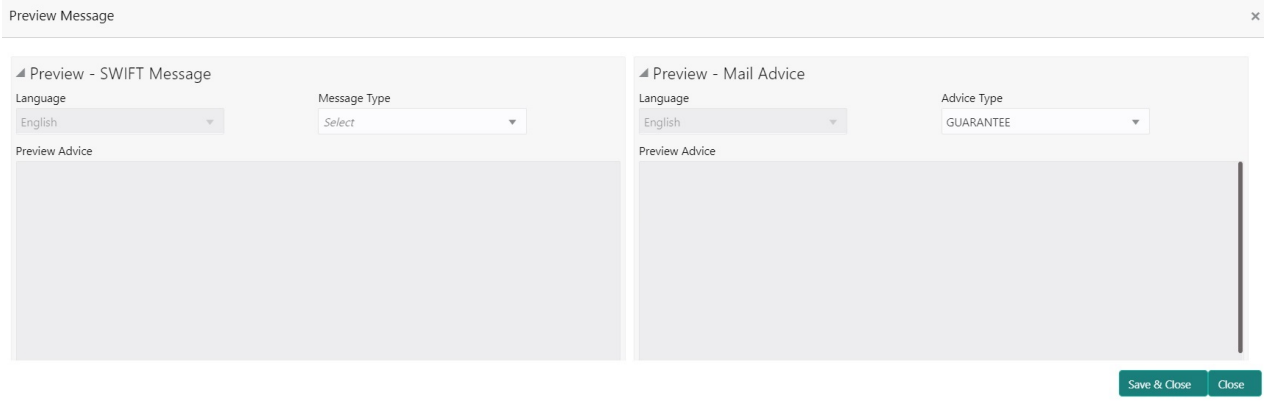
| Tax Details | | | | | | |
|-------------|-----|--------|--------------------------|--------------------------|--------------------|---|
| | | | Billing | Defer | Settlement Account | |
| LCTAX | GBP | 8000 | <input type="checkbox"/> | <input type="checkbox"/> | 30000015050028 | 🔍 |
| LCTAX | GBP | 8000 | <input type="checkbox"/> | <input type="checkbox"/> | 30000015050028 | 🔍 |
| LCTAX1 | GBP | 0 | <input type="checkbox"/> | <input type="checkbox"/> | 30000015050028 | 🔍 |
| LCTAX1 | GBP | 0 | <input type="checkbox"/> | <input type="checkbox"/> | 30000015050028 | 🔍 |
| LCTAX2 | GBP | 876.54 | <input type="checkbox"/> | <input type="checkbox"/> | 30000015050028 | 🔍 |
| LCTAX2 | GBP | 876.54 | <input type="checkbox"/> | <input type="checkbox"/> | 30000015050028 | 🔍 |

| Field | Description | Sample Values |
|--------------------|---|---------------|
| Component | Tax Component type. | |
| Currency | The tax currency is the same as the commission. | |
| Amount | The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required. | |
| Billing | If tax are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. | |
| Defer | Select the check box, if charges/commissions has to be deferred and collected at any future step. | |
| Settlement Account | Details of the settlement account. | |

Preview

The bank user can view a preview of the outgoing SWIFT message and advise simulated from back office.

The preview message simulated from the back office and the user can view the message.



| Field | Description | Sample Values |
|-----------------------------|---|---------------|
| Preview SWIFT Message | | |
| Language | Select the language for the SWIFT message. | |
| Message Type | Select the message type. | |
| Preview Advice | Display a preview of the draft message. | |
| Preview Mail Device | | |
| Language | Select the language for the advice message. | |
| Advice Type | Select the advice type. | |
| Message Type | Display a preview of the advice. | |
| Draft Confirmation Required | This toggle enables the user to select if draft confirmation is required or not | |

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| Request Clarification | On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details. | |

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| Refer | <p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. | |
| Hold | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Cancel | Cancel the Guarantee Advice Scrutiny inputs. | |
| Next | <p>Task will get moved to next logical stage of Guarantee Amendment Advise.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p> | |
| Save & Close | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p> | |
| Back | On clicking the Back, system should move the task to the previous segment. | |
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested. | |
| Documents | <p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> | |
| Remarks | Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users. | |
| Overrides | Click to view overrides, if any. | |
| View Undertaking | Clicking this button allows the user should to view the undertaking details. | |

Settlement Details

Guarantee Advise Internal Amendment - DataEnrichment :: Application No: PK2GTAI000062538

Overrides View Undertaking

- Main Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details**
- Summary

Settlement Details

Current Event

Screen (5 / 6)

| Settlement Details | | | | | | | |
|--------------------|----------|--------------|--------------|-----------------------|------------------|-------------------|---------------|
| Component | Currency | Debit/Credit | Account | Account Description | Account Currency | Netting Indicator | Current Event |
| AVL_SET_ICAMT | GBP | Debit | PK1000325025 | NATIONAL FREIGHT CORP | GBP | No | No |
| AVL_SET_ICAMTEQ | GBP | Credit | PK1000325025 | NATIONAL FREIGHT CORP | GBP | No | No |
| COLLAMT_OSEQ | GBP | Debit | PK1000325025 | NATIONAL FREIGHT CORP | GBP | No | No |
| COLL_AMNDAMTEQ | GBP | Debit | PK1000325025 | NATIONAL FREIGHT CORP | GBP | No | Yes |
| COLL_AMTEQ | GBP | Debit | PK1000325025 | NATIONAL FREIGHT CORP | GBP | No | No |
| COLL_AMT_DECR | GBP | Credit | PK1000325025 | NATIONAL FREIGHT CORP | GBP | No | Yes |
| COLL_AMT_INCR | GBP | Debit | PK1000325025 | NATIONAL FREIGHT CORP | GBP | No | Yes |
| COLL_AVALAMTEQ | GBP | Credit | PK1000325025 | NATIONAL FREIGHT CORP | GBP | No | No |
| LCEXADV_LIQD | GBP | Debit | PK1000325025 | NATIONAL FREIGHT CORP | GBP | No | No |
| LCEXAMND_LIQD | GBP | Debit | PK1000325025 | NATIONAL FREIGHT CORP | GBP | No | No |

Audit

Reject Refer Hold Cancel Save & Close Back Next

Provide the settlement details based on the description in the following table:

| Field | Description | Sample Values |
|---------------------|---|---------------|
| Current Event | The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event. | |
| Component | Components gets defaulted based on the product selected. | |
| Currency | System displays the default currency for the component. | |
| Debit/Credit | System displays the debit/credit indicators for the components. | |
| Account | System displays the account details for the components. | |
| Account Description | System displays the description of the selected account. | |
| Account Currency | System defaults the currency for all the items based on the account number. | |
| Netting Indicator | System displays the applicable netting indicator. | |
| Current Event | System displays the current event as Y or N. | |

Data Enrichment - Summary

User can review the summary of details updated in Data Enrichment Internal Amendment of Guarantee/ SBLC Advised request.

The Summary tiles must display a list of important fields with values. The tiles where fields have been amended is highlighted in different color, User can drill down from Summary Tiles into respective data segments.

Tiles Displayed in Summary

- Main Details - User can view the application details and Guarantee/ Standby details. User can only view but cannot modify the details.
- Additional Fields – User can view the UDF maintained.
- Advices - User can view the advice details.
- Limits and Collaterals - User can view the limits and collateral details. User can only view but cannot modify the details.
- Commission Charges and Taxes - User can view the details provided for charges. User can only view but cannot modify the details.
- Preview Messages - User can have the preview of message.
- Party Details - User can view the party details like beneficiary, advising bank etc. User can only view but cannot modify the details.
- Guarantee Details - User can view the Guarantee details.
- Additional Details - User can view the User Defined Field details. User can only view but cannot modify the details.
- Accounting Entries - User can view the accounting entries generated in back office.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| Request Clarification | On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details. | |
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Refer | <p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. | |
| Hold | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Cancel | Cancel the Guarantee Advice Scrutiny inputs. | |
| Next | <p>Task will get moved to next logical stage of Guarantee Amendment Advise.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p> | |
| Save & Close | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p> | |

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested. | |
| Documents | Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. | |
| Remarks | Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users. | |
| Overrides | Click to view overrides, if any. | |
| View Undertaking | Clicking this button allows the user should to view the undertaking details. | |

Multi Level Approval

The Approval user can approve a Guarantee Advice Internal Amendment Transaction.

Log in into OBTFPM application and acquire the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

The user should be able to view the Approval summary.

Summary

The screenshot shows the Oracle application interface for a 'Guarantee Advise Internal Amendment - Approval Task Level 1' with Application No: 000GTAI000038915. The summary screen displays several data segments in a grid format:

- Main Details:** SBL/Guarantee Type : TEND, submissionMode : Desk, dateIssue : 2014-01-01. This tile is highlighted in blue.
- Additional Fields:** Click here to view Additional fields.
- Advices:** Advice1, Advice2.
- Settlement Details:** component, accountNumber, currency.
- Limits and Collaterals:** limitCurr, limitContr, limitStat (Not Verified), collCurr (GBP), Collateral Contr. (100), collStatus (Not Verified).
- Commission, Charges and Taxes:** charge, commission, tax, blockStatus (Not Initia).
- Preview Messages:** language (ENG), previewMessage (-).
- Compliance details:** lyc (Verified), sanctions (Not Initia), aml (Not Initia).
- Parties Details:** app (NESTLE).

At the bottom of the screen, there are buttons for 'Audit', 'Reject', 'Hold', 'Refer', 'Cancel', and 'Approve'.

Tiles Displayed in Summary:

The tiles pertaining to the fields that are amended is highlighted in different color for the approver user.

- Main Details - User can view the application details and Guarantee/ Standby details. User can only view but cannot modify the details.

- Additional Fields – User can view the UDF maintained.
- Advices - User can view the advice details.
- Limits and Collaterals - User can view the limits and collateral details. User can only view but cannot modify the details.
- Commission Charges and Taxes - User can view the details provided for charges. User can only view but cannot modify the details.
- Preview Messages - User can have the preview of message.
- Party Details - User can view the party details like beneficiary, advising bank etc. User can only view but cannot modify the details.
- Guarantee Details - User can view the Guarantee details.
- Additional Details - User can view the User Defined Field details. User can only view but cannot modify the details.
- Accounting Entries - User can view the accounting entries generated in back office.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|--------|---|---------------|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Hold | <p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |

| Field | Description | Sample Values |
|---------|--|---------------|
| Refer | <p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others | |
| Cancel | Cancel the Guarantee Advice approval. | |
| Approve | On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting. | |

Handoff:

Once the task is Approved, the task is handed off to the back office (LCDGUONL) for postings. In the back office, the relevant accounting entries are posted, advises are generated, charges and tax to be collected are posted.

In case there is a failure in Handoff, the task goes to retry handoff queue. The user can manually try to initiate handoff.

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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